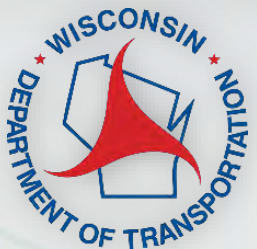


Public Transportation Agency Safety Plan (PTASP)

Ian Ritz, Transit Section Chief
Katie Patterson and Eric Stoegbauer

WisDOT Public and Specialized Transit Section
Hill Farms, Madison, WI

December 17, 2019



OVERVIEW

- PTASP Rule Summary
- Key Requirements
- Projected Timeline
- Activities

RULE SUMMARY

49 CFR Part 673

- Requires creation of a document or unified collection of documents
- Constitutes an agency's plan and procedures for ensuring safety

34418 Federal Register / Vol. 83, No. 139 / Thursday, July 19, 2018 / Rules and Regulations

DEPARTMENT OF TRANSPORTATION
Federal Transit Administration
49 CFR Part 673
(Docket No. FTA-2018-0021)
RIN 2192-AB28

Public Transportation Agency Safety Plans (PTASP)
AGENCY: Federal Transit Administration (FTA), DOT.
ACTION: Final rule.
SUMMARY: The Federal Transit Administration (FTA) is publishing a final rule for Public Transportation Agency Safety Plans as authorized by the Moving Ahead for Progress in the 21st Century Act (MAP-21). This final rule requires States and certain operators of public transportation systems that receive Federal financial assistance under 49 U.S.C. Chapter 53 to develop Public Transportation Agency Safety Plans based on the Safety Management System approach. Operators of public transportation systems will be required to implement the safety plans. The development and implementation of safety plans will help ensure that public transportation systems are safe nationwide.
DATES: The effective date of this rule is July 19, 2018.
PTA's Office of Transit Safety and Oversight (TSO) will host a series of webinars to discuss the requirements of the Public Transportation Agency Safety Plan (PTASP) final rule. The first two webinars will be held at 2 p.m. on Wednesday, July 25, 2018 and Thursday, July 26, 2018.
ADDRESSES: To register for webinars and for information about future webinars, please visit <https://www.fta.dot.gov/whatsnew>.
FTA is committed to providing equal access for all webinar participants. If you need alternative format, options, or services, contact FTA.Knowledge@fta.dot.gov at least three business days prior to the event. If you have any questions, please email FTA.Knowledge@fta.dot.gov.
FOR FURTHER INFORMATION CONTACT: For general information, contact PTASP@fta.dot.gov. For program matters, contact [Adrianne Mooney](mailto:Adrianne.Mooney@fta.dot.gov), Office of Transit Safety and Oversight, (202) 366-1788 or Adrianne.Mooney@fta.dot.gov. For legal matters, contact [Michael Costello](mailto:Michael.Costello@fta.dot.gov), Office of Chief Counsel, (212) 666-2170 or Michael.Costello@fta.dot.gov. Office hours are from 9:30 a.m. to 3:00 p.m., Monday through Friday, except Federal holidays.

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RULE SUMMARY

The PTASP document must have:



Proper
signatures

RULE SUMMARY

The PTASP document must have:



Accountable Executive and Board of Directors signatures

RULE SUMMARY

The PTASP document must have:



Processes
related to SMS

RULE SUMMARY

The PTASP document must have:



Accountable Executive and Board of Directors signatures



Processes and activities related to SMS

RULE SUMMARY

The PTASP document must have:



Safety performance targets

RULE SUMMARY

The PTASP document must have:



Accountable Executive and Board of Directors signatures



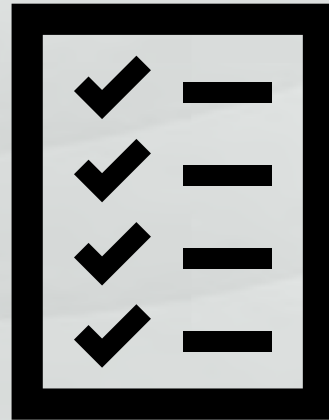
Processes and activities related to SMS



Measurable safety performance targets

RULE SUMMARY

The PTASP document must have:



A process for annual review

RULE SUMMARY

The PTASP document must have:



Accountable Executive and Board of Directors signatures



Processes and activities related to SMS

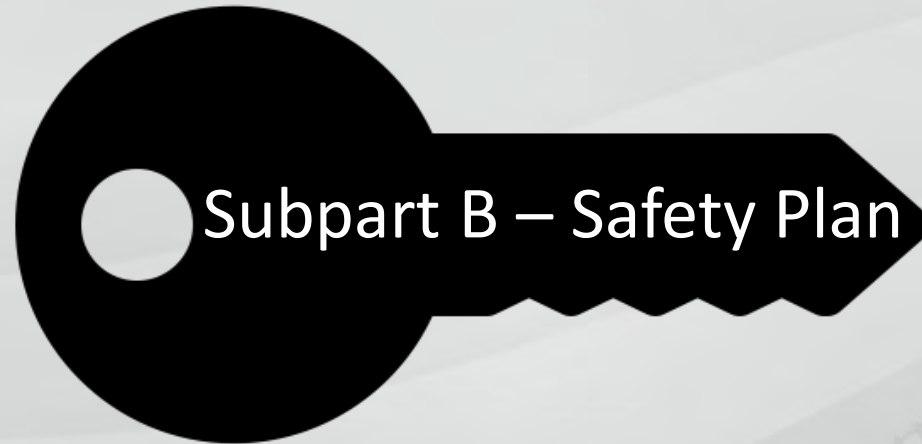


Measurable safety performance targets



A process and timeline for annual review and certification

KEY REQUIREMENTS



KEY REQUIREMENTS

Subpart B – Safety Plan, General Requirements



Maintain PTASP

KEY REQUIREMENTS

Subpart B – Safety Plan, General Requirements



Maintain PTASP document, policies, and procedures

KEY REQUIREMENTS

Subpart B – Safety Plan, General Requirements



Certify PTASP
each year

KEY REQUIREMENTS

Subpart B – Safety Plan, General Requirements



Maintain PTASP



Certify PTASP is compliant with Part 673 each year

KEY REQUIREMENTS

Subpart B – Safety Plan, General Requirements



Coordinate with
state and MPOs

KEY REQUIREMENTS

Subpart B – Safety Plan, General Requirements



Maintain PTASP



Certify PTASP is compliant with Part 673 each year



Coordinate safety performance targets with state and MPOs

KEY REQUIREMENTS

Subpart C – SMS, General Requirements

SMS = Safety management system

General term:

Not "*The SMS*", but "*a(n) SMS*"



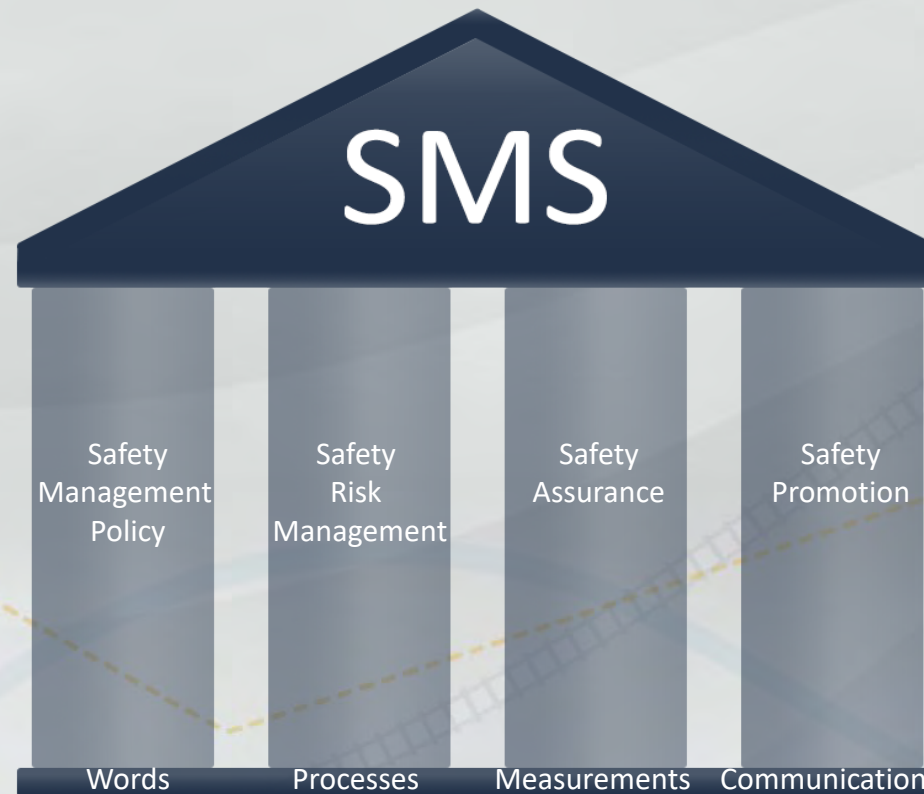
KEY REQUIREMENTS

Subpart C – SMS, General Requirements



KEY REQUIREMENTS

Subpart C – SMS, General Requirements



WisDOT PTASP WORKGROUP

49 CFR § 673.11(d)

A State must draft and certify a [PTASP] on behalf of any small public transportation provider that is located in that State. A State is not required to draft a [PTASP] ... if that agency notifies the State that it will draft its own plan.

In each instance, the transit agency must carry out the plan.



LOCAL TRANSIT SYSTEMS

Immediate Actions

- REVIEW
 - FTA's PTASP rule and related guidance
 - WisDOT's PTASP template
- IDENTIFY
 - The Accountable Executive
 - The Board of Directors (or equivalent)
 - Existing agency documents that address PTASP requirements
 - Gaps that must be addressed

LOCAL TRANSIT SYSTEMS

Deliverables

- COMPLETE
 - Provider-specific PTASP (from WisDOT template)
 - Review, approval, and signature of PTASP
 - Accountable Executive
 - Board of Directors (or equivalent)
 - Submit proof of completed PTASP to WisDOT
 - Before July 20, 2020 deadline

LOCAL TRANSIT SYSTEMS

Ongoing Expectations

- DEVELOP
 - Plans for implementing PTASP throughout your agency
 - Consider SMS framework
 - Identify, document, and communicate changes at all levels
- PREPARE
 - For FTA triennial audits
 - Agency compliance with PTASP policies and procedures

LOCAL TRANSIT SYSTEMS

Ongoing Expectations

Review PTASP
throughout the year

Implement procedures
and SMS activities

Annually



Certify agency has a
compliant PTASP

Revise PTASP
(if needed)

WisDOT PTASP TEMPLATE SECTIONS

- Transit Agency Information
- Plan Development, Approval, and Updates
 - Accountable Executive and Board of Directors (or equivalent) signatures
- Activity Log
 - Version number and update tracking
- Safety Policies and Procedures (Safety Management Policy)
 - Policy Statement
 - Annual PTASP Review and Update
 - Organization Structure and System Safety Responsibilities

WisDOT PTASP TEMPLATE SECTIONS

- Safety Risk Management
 - Processes for hazard identification, risk assessment, mitigation, and tracking
 - Non-punitive employee safety reporting policy
- Safety Assurance
 - Processes for safety performance measurement and monitoring, and sustaining SMS
- Safety Promotion
 - Activities required for safety training and certification
 - Processes for communication of safety plan throughout agency

SUMMARY

FTA requires creation of a PTASP, a safety plan document(s) that:



Outlines an agency's plan for system safety



Is signed and certified as compliant with 49 CFR Part 673



Is organized using SMS framework

Compliance deadline: July 20, 2020

RESOURCES

WisDOT – Transit Safety Compliance

<https://wisconsindot.gov/Pages/doing-bus/local-gov/astnce-pgms/transit/compliance/safety.aspx>

FTA – PTASP Technical Assistance Center (PTASP-TAC)

<https://www.transit.dot.gov/PTASP-TAC>

QUESTIONS

Ian Ritz, Public and Specialized Transit Section Chief

ian.ritz@dot.wi.gov

(608) 266-0189

Katie Patterson, Compliance Unit Lead

katherine.patterson@dot.wi.gov

(608) 264-7335

Eric Stoegbauer, State Safety Oversight (SSO) Manager

eric.Stoegbauer@dot.wi.gov

(608) 267-6680